

# BFPFG

Boutique Financial Planning Principals Group Inc.

The Secretary  
PO Box 80, GRANGE QLD 4051  
Phone: (07) 3356 9933 Fax: (07) 3856 2622 Email: donplan@qldnet.com.au

## APPLICATION FOR MEMBERSHIP

Name of Applicant: \_\_\_\_\_  
*(AFS Licensee Name for Ordinary or Associate; Individual Name for Affiliate)*

Primary Contact: \_\_\_\_\_ Date Business/Practice Established: \_\_\_\_\_

Class of Membership:  Ordinary  Associate  Affiliate

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
\_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

### Contact Details:

Bus: ( ) \_\_\_\_\_ Fax: ( ) \_\_\_\_\_ Mob: \_\_\_\_\_

Email: \_\_\_\_\_

### FPA Membership Details: (where applicable)

Licensee Name: \_\_\_\_\_ FPA Principal Member No: \_\_\_\_\_

Individual Name: \_\_\_\_\_ Individual FPA Member No: \_\_\_\_\_

### Licensee Member Applications

### Affiliate Member Applications

Number of:

- Authorised Representatives \_\_\_\_\_
- Corporate Authorised Reps \_\_\_\_\_
  - Sub Authorised Reps \_\_\_\_\_
- Employee Representatives \_\_\_\_\_
- Total No. Representatives** \_\_\_\_\_

Qualifications/Designations: \_\_\_\_\_

Your AFS Licensee: \_\_\_\_\_

AFS Licence No: \_\_\_\_\_

Do you intend to apply for your AFS licence?  Yes  No

If Yes, when? \_\_\_\_\_

AFS Licence No: \_\_\_\_\_

In the past 5 years has your business or any of the Directors of your business:

- |  |                              |                             |
|--|------------------------------|-----------------------------|
| • Been the subject of a complaint to ASIC  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| • Been the subject of any enforceable undertaking to ASIC                          | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| • Been subject to disciplinary action by the FPA                                   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| • Been subject to disciplinary action by any other professional or regulatory body | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| • Had a ruling or determination made against them by FOS or a court                | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| • Made a claim on your Professional Indemnity Insurance                            | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

If the answer to any of the above questions is Yes – please provide details.

**ANNUAL SUBSCRIPTION: \$395\* PAYABLE TO:**

*Boutique Financial Planning Principals Group Inc. PO BOX 80, GRANGE QLD 4051*

\* Note: \$197.50 if joining after January 1. There is no GST on BFPFG Membership Fee as BFPFG is non profit.

# BFPPG

Boutique Financial Planning Principals Group Inc.

## APPLICATION FOR MEMBERSHIP (continued)

Your signature on this Application form, binds the Applicant to the following terms:

- You agree to abide by the rules of the Boutique Financial Planning Principals Group as set out in its Rules.
- You agree that if any information on this Application form is not correct, that the Application will be deemed invalid and any membership granted as a result of this Application will be forfeited.
- You give authority to the Financial Planning Association, ASIC and FOS to provide details about the Applicant to Boutique Financial Planning Principals Group prior to your becoming a member and for the duration of your membership and to enable such due diligence, you have signed the 'Authority to Release Information' set out below.
- The acceptance of any individual/organisation as a member of FOS is entirely subject to the discretion of the BFPPG Management Committee (Executive) who have sole authority to accept, reject or defer any membership application. If an application is rejected, the Membership fee will be returned in full. If a decision regarding membership is delayed or deferred for more than 3 months after the date of the first Management Committee meeting which considers this application, the application will automatically lapse and the membership fee will be returned in full.
- You will not purport to represent the BFPPG in the media or other public forum, unless specifically authorised by the Executive.

### Authority to Release Information to the Boutique Financial Planning Principals Group Inc.

I accept that as part of the due diligence process for membership, the BFPPG Executive Committee may need to obtain information relating to my proposed membership, directly from any or all of: the Financial Planning Association (FPA), the Australian Securities and Investments Commission (ASIC) and the Financial Ombudsman Service (FOS). Thus I authorise these bodies to disclose such information about me and/or my firm as the Boutique Financial Planning Principals Group Inc. may request. This Authority stands as a complete release to any and all of the above bodies.

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Name:** \_\_\_\_\_ **Position:** \_\_\_\_\_  
(Please print)

**On behalf of:** \_\_\_\_\_  
(The Applicant)

**NOTE:** Successful Membership Application does not mean automatic acceptance for coverage under BFPPG's Group PI policy.

### PRIVACY STATEMENT

The BFPPG Inc. respects your privacy. Information is requested when you are seeking membership of the BFPPG Inc. Information collected is mainly limited to business information, but may include personal information. Any information you give to us or is collected by us, will be kept confidential and held with the utmost care, and will not be sold, rented, loaned or otherwise disclosed and will not be used in ways that you have not consented to. In this respect please note the statement below.

**Please note** that basic Member details (name and contact details, along with a link back to your own website) are published on the Public Page of the BFPPG website unless you advise us otherwise.

### Office Use Only

Nominator:	_____	Seconder:	_____
Date Application Received:	_____	Date Subscription Received:	_____
Date Approved:	_____	Date Member Notified:	_____